

# SELF SCREENING FORM

## TOC SELF-SCREENING FORM

CONTACT DETAILS OF PROSPECTIVE APPLICANT			
<b>Name of Company</b>			
<b>Company Reg. No:</b>			
<b>Contact number:</b>		<b>Contact email:</b>	
<b>Access application type</b>	Network A	Network B	

1. Prospective TOCs must complete a self-screening checklist. The primary objective of this checklist is to ensure that key required capabilities are verified by the TOCs prior to proceeding with the subsequent phases of the application process. This checklist is to be furnished as the cover page of the application.
2. It allows applicants to ascertain whether the stated capabilities will be established by the commencement of operations.
3. TOCs wishing to continue with the application should attach a populated version of this document to the front of the submission pack to assist the IM to identify any areas requiring special attention while assessing the application.

### Questionnaire

No.	Assessment	Prospective Applicant Response (Yes/No)	Remarks
(i)	Is the prospective Applicant's business solvent and liquid? If the answer is "Yes", you are requested to submit signed letter issued by the currently appointed external auditors of the prospective Applicant confirming that it is a going concern and that it is solvent and liquid.		
(ii)	Will the prospective Applicant have their own/leased rolling stock that is compliant with the prescribed specifications and that is compatible with the network configuration each as set out in the Information Pack, and will such rolling stock be available for physical inspection during the evaluation of any Application that it may submit in respect of the Competitive Contracting Process?		
(iii)	Will the asset condition of the rolling stock envisaged in question (ii) be such that it is capable of safe, immediate and ongoing operation on the Transnet freight rail network?		
(iv)	Does the prospective Applicant have adequate crewing manning methodology and details for Train communication equipment, available to operate trains in the slots and on the routes that are envisaged in the Information Pack and that it intends to apply for, within 3 months of the conclusion of the relevant contracts with Transnet?		
(v)	Is the prospective Applicant willing to make its train operating personnel (including but not limited to its train drivers) available, at its own cost, to undergo any required training (as determined by the Faculty of Rail) including training in respect of the Local Area Working Rules and associated procedures? Please note that the deployment of suitable qualified and certified train operating personnel is a prerequisite for the granting of an RSR Permit and in accordance to SANS 3000-4 requirements		
(vi)	The prospective applicants have an appropriate business operating model, security plan, environment management plan and the prospective applicant's willingness to participant in the IM community and socio development plans.		
(vii)	The prospective applicants have must ensure that there are rail volume forecasts and guarantees in the form of a letter confirming this from and signed by the cargo owner for timetable period or Framework agreement period. The letter should include the duration of the contract, tonnages (to be) contracted, confirmation that the TOC is the nominated haulage service provider. Refer to annexure 34 (Volume Confirmation Letter)		